

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 9405948 |
| Application Number: | 09404518 |
| International Application Number: | |
| Confirmation Number: | 8450 |
| Title of Invention: | MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM |
| First Named Inventor/Applicant Name: | Peter Martin |
| Customer Number: | 26161 |
| Filer: | Denis G. Maloney/Marie Collins |
| Filer Authorized By: | Denis G. Maloney |
| Attorney Docket Number: | 09857/030001 |
| Receipt Date: | 09-FEB-2011 |
| Filing Date: | 23-SEP-1999 |
| Time Stamp: | 12:00:36 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|--|-----------------|
| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$810 |
| RAM confirmation Number | 9388 |
| Deposit Account | 061050 |
| Authorized User | |

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|
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|---|--|------------------------|--|----|----|
| 1 | Request for Continued Examination (RCE) | rce09857-0030001.pdf | 139298 62b6794d8d20978a0217cc1fbda362b0b52e1c | no | 1 |
| Warnings: | | | | | |
| This is not a USPTO supplied RCE SB30 form. | | | | | |
| Information: | | | | | |
| 2 | Information Disclosure Statement (IDS) Filed (SB/08) | ids09857-0030001.pdf | 1091727 4c57b2cd84cd3a096c74bd14c263a77ab94ae0380 | no | 3 |
| Warnings: | | | | | |
| Information: | | | | | |
| This is not an USPTO supplied IDS fillable form | | | | | |
| 3 | NPL Documents | NPL1_09857-0030001.pdf | 3685836 d642808b1ed4014dc44a0d952b471be7bae993b | no | 3 |
| Warnings: | | | | | |
| Information: | | | | | |
| 4 | NPL Documents | NPL2_09857-0030001.pdf | 222871 494860d6b7d4a13a8f08d2956d1908a0dacc018D | no | 6 |
| Warnings: | | | | | |
| Information: | | | | | |
| 5 | NPL Documents | NPL3_09857-0030001.pdf | 84843 0c2a29865d9fab42887676b178114750d131ce56d4 | no | 14 |
| Warnings: | | | | | |
| Information: | | | | | |
| 6 | Fee Worksheet (PTO-875) | fee-info.pdf | 30426 1a6d9b55c7564604fb7992381c13fab2ffef35 | no | 2 |
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| Total Files Size (in bytes): | | | 5255001 | | |

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.